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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
Inventor(s): James D. Peterson et al.
Application No.: 10/698,140
Filed: October 31, 2003
For: SYSTEM AND METHOD FOR
PROVIDING FINANCIAL ADVICE
FOR AN INVESTMENT PORTFOLIO

Confirmation No.: 2938
Group Art Unit: 3628
Examiner: Unknown

STATUS REQUEST

235 Montgomery Street, 30th Floor
San Francisco, CA 94104
Telephone: (415) 954-4400
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date noted below.

FARELLA BRAUN + MARTEL LLP

Dated: 12/2/05 By: [Signature]
Dianne Marangio

Sir:

More than twenty-four (24) months have passed since the filing of the above-identified application on October 31, 2003. No communication has been received from the Patent and Trademark Office indicating actions on this application.

Kindly advise the undersigned of the present status of this application by checking the appropriate box on the next page. Please fax the Status Inquiry Reply back to the undersigned at (415) 954-4480.

Respectfully submitted,

FARELLA BRAUN + MARTEL LLP

Date: Dec. 02, 2005

By: [Signature]
Brian J. Keating (Reg. No. 39,520)

Atty Docket No. SCHB-410

Attorneys for Applicant(s)

STATUS INQUIRY REPLY

APPLICATION No. 10/698,140 IS CURRENTLY

- ☐ ASSIGNED TO GROUP _____ AND AWAITS:
- ☐ ACTION BY THE EXAMINER
- ☐ DATE OF ACTION EXPECTED IS _____
- ☐ APPLICANT'S RESPONSE TO THE OFFICE ACTION MAILED

PLEASE FAX YOUR RESPONSE AS FOLLOWS: **(415) 954-4480**

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